

06/21/01
J1017 U.S. PTO

06-25-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1 Inventorship Bahl et al.
2 Applicant Microsoft Corporation
3 Attorney's Docket No. MS1-752US
Title: Personal Centralized Alert Delivery Systems And Methods Of Use

J1017 U.S. PTO
06/21/01
09/887413

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

5 To: Commissioner of Patents and Trademarks
Washington, D.C. 20231
From: James R. Banowsky (509) 324-9256
Customer No. 22801

PATENT TRADEMARK OFFICE

6 The following enumerated items accompany this transmittal letter and are being submitted for the
matter identified in the above caption.

7

1. Transmittal Letter with Certificate of Mailing included.
2. PTO Return Postcard Receipt
3. Fee Transmittal
4. Check in the amount of \$1226.00
5. New patent application (title page plus 47 pages, including claims 1-42 & Abstract)
6. Executed Declaration
7. 9 sheets of formal drawings (Figs. 1-12)
8. Assignment w/Recordation Cover Sheet

12 Large Entity Status [x] Small Entity Status []

13 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
14 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
processing fees under 37 CFR 1.17.

16 Date: 6-21-01

Kerry Clinton
By: Reg. # 40,559
for James R. Banowsky
Reg. No. 37,773

CERTIFICATE OF MAILING

20 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
21 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
below-indicated date. Any Express Mail No. has also been marked on the listed items.

22 Express Mail No. (if applicable) EL792823455

24 Date: 6/21/01

By: *Dana L. Calhoun*
Dana L. Calhoun

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1226.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Wang
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-752US

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

12-0769

Deposit Account Name

Lee & Hayes PLLC

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

SUBTOTAL (1) (\$ 710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
52	-20** = 32	x 18 = 396	
4	- 3** = 1	x 80 = 80	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple dependent claim, if not paid
109	80	209	40 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 476.00)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	Kasey C. Christie	Registration No. (Attorney/Agent)	40,559	Complete if applicable
Signature	_____ Kasey C. Christie			
	Date	6-21-01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231